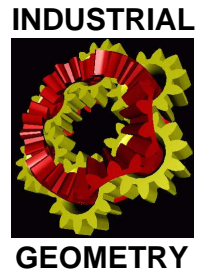


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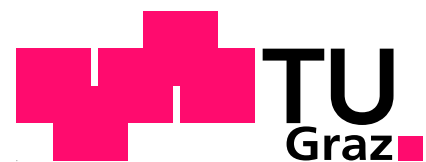
## Matching Edges and Faces in Polygonal Partitions

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December 2005

**FWF**

Der Wissenschaftsfonds.



# Matching Edges and Faces in Polygonal Partitions

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## Abstract

We define general Laman (count) conditions for edges and faces of polygonal partitions in the plane. Several well-known classes, including  $k$ -regular partitions,  $k$ -angulations, and rank- $k$  pseudo-triangulations, are shown to fulfill such conditions. As a consequence, non-trivial perfect matchings exist between the edge sets (or face sets) of two such structures when they live on the same point set. We also describe a link to spanning tree decompositions that applies to quadrangulations and certain pseudo-triangulations.

## 1 Introduction

There exist several results [2] concerning matchings between the edges (or triangles) in two given triangulations on top of the same point set  $S$ . For example, for any two triangulations  $T_1$  and  $T_2$  of  $S$ , we can pair each edge  $e_1 \in T_1$  with an edge  $e_2 \in T_2$  such that either  $e_1 = e_2$  or  $e_1$  crosses  $e_2$ . Moreover, each triangle  $\Delta_1 \in T_1$  can be paired with a triangle  $\Delta_2 \in T_2$  such that either  $\Delta_1 = \Delta_2$  or  $\Delta_1$  partially overlaps with  $\Delta_2$ . Perfect matchings of this kind prove useful for obtaining lower bounds on the edge length of the minimum weight triangulation of  $S$ ; see [2].

Unfortunately, pseudo-triangulations (see Section 3 for a definition) do not share these properties. Figure 1 depicts two pseudo-triangulations  $PT_1$  (left) and  $PT_2$  (right) on a set of five points. Note that  $PT_1$  and  $PT_2$  have the same number of edges (and faces). The bold edge in  $PT_1$  neither crosses, nor coincides with, an edge in  $PT_2$ . Thus no edge matching as above is possible. Also, the two shaded faces in  $PT_2$  both overlap only with the shaded face in  $PT_1$ . This rules out a face matching.

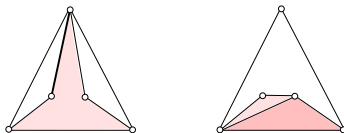


Figure 1: The matching theorems in [2] fail for pseudo-triangulations

We intend to show that perfect matchings can be retained when 'crossing' and 'overlap', respectively, is re-

laxed to vertex incidence. In fact, such incidence matchings also exist for polygonal partitions different from pseudo-triangulations. We define a general condition that guarantees the existence of incidence matchings for edges and faces in two polygonal partitions with the same vertex set. This condition (sometimes) also implies decomposability into edge-disjoint spanning trees.

## 2 Generalized Laman property

Throughout, let  $S$  be a finite set of (at least three) points in the plane. Let  $\text{conv}(S)$  denote the convex hull of  $S$ . A *polygonal partition*,  $P$ , on  $S$  is a partition of  $\text{conv}(S)$  into simple polygons (faces) such that  $S$  is the vertex set of  $P$ , and such that each edge of  $P$  which is not an edge of  $\text{conv}(S)$  is common to exactly two faces.

Let now  $P$  be any polygonal partition on  $S$ . Throughout, let the term 'object' consistently stand for either 'edge' or 'face'. Consider an arbitrary subset  $S' \subseteq S$ . We say that an object  $x$  of  $P$  is *spanned* by  $S'$  if  $x$  has all its incident vertices in  $S'$ . Denote with  $\alpha(S')$  the number of objects of  $P$  that are spanned by  $S'$ . Further, let  $n(S')$  be the cardinality of  $S'$ , and let  $h(S')$  be the number of vertices of  $\text{conv}(S')$ . Note that  $\alpha(S)$  expresses the total number of objects of  $P$ . As  $P$  defines a planar straight line graph on  $S$ ,  $\alpha(S)$  is a linear function of  $n(S)$ . We call  $P$  *object-Laman* if there exist three constants  $c_1 \geq c_2 \geq 0$  and  $c_3 \geq -1$  such that the following two conditions hold:

$$\alpha(S) = c_1 n(S) - c_2 h(S) - c_3$$

and, for each subset  $S' \subset S$  with  $n(S') \geq 2$ ,

$$\alpha(S') \leq c_1 n(S') - c_2 h(S') - c_3$$

the so-called *hereditary Laman condition*. We term the triple  $(c_1, c_2, c_3)$  the *(object) characteristic* of  $P$ . Classical planar Laman graphs [10] have embeddings as straight line graphs that yield polygonal partitions with edge characteristic  $(2, 0, 3)$ ; see [8]. That is, a Laman graph on  $n$  vertices has precisely  $2n - 3$  edges, and each subgraph on  $n' \geq 2$  vertices has at most  $2n' - 3$  edges. In [3], the concept of bounded graph density from [10] is extended to general functions of  $n$ . Dealing with purely graph-theoretical concepts, they do not consider the number of convex hull points as a parameter.

An object  $x$  of  $P$  is said to be *covered* by a subset  $S' \subseteq S$  if  $x$  has at least one incident vertex in  $S'$ . Let  $\beta(S')$  denote the number of objects of  $P$  that are covered by  $S'$ . Clearly  $\beta(S') \geq \alpha(S')$  holds, as each object spanned by  $S'$  is also covered by  $S'$ . Polygonal partitions that are object-Laman satisfy the following property. (We omit most proofs due to lack of space.)

**Lemma 1** *Let  $P$  be any polygonal partition on  $S$  that is object-Laman with characteristic  $(c_1, c_2, c_3 \geq 0)$ . Then  $\beta(S') \geq c_1 n(S') - c_2 h(S') - c_3$  holds, for each  $S' \subseteq S$ .*

The object Laman property is strong enough to imply a non-trivial bijection between the edge sets (or face sets) of two polygonal partitions that live on the same configuration of points.

**Theorem 2** *Let  $S$  be a finite set of points in the plane. Let  $P_1$  and  $P_2$  be any two polygonal partitions on  $S$  that are object-Laman with same characteristic  $(c_1, c_2, c_3 \geq 0)$ . There exists a perfect matching between the set of objects of  $P_1$  and the set of objects of  $P_2$  such that matched objects share a vertex.*

**Proof.** Let  $O_i$  be the set of objects of  $P_i$ , for  $i = 1, 2$ . For a subset  $X \subseteq O_1$ , let  $Y \subseteq O_2$  denote the set of objects that possibly can be matched to some object in  $X$ . More precisely,  $Y$  contains all objects  $y \in O_2$  such that  $y$  shares some vertex with an object in  $O_1$ . We show  $|Y| \geq |X|$ . That is, the Hall condition [5] for the marriage theorem is fulfilled, which implies the existence of a perfect matching between  $O_1$  and  $O_2$ .

Let  $S'$  be the subset of  $S$  that consists of all the vertices of the objects in  $X$ . That is,  $X$  is the set of objects of  $P_1$  that are spanned by  $S'$ . If  $n(S') \leq 1$  then  $|X| = 0$ , and  $|Y| \geq |X|$  clearly holds. Let  $n(S') \geq 2$ . By the assumed Laman property for  $P_1$  we have  $|X| \leq c_1 n(S') - c_2 h(S') - c_3$ . On the other hand,  $Y$  is precisely the set of objects of  $P_2$  that are covered by  $S'$ . By the assumed Laman property for  $P_2$  we now get  $|Y| \geq c_1 n(S') - c_2 h(S') - c_3$  from Lemma 1. We conclude  $|Y| \geq |X|$  again.  $\square$

The Eulerian relation for planar graphs implies a correspondence between the edge-Laman and the face-Laman property. From now on, let us write the number  $\alpha(S')$  of objects spanned by a subset  $S' \subset S$  as  $e(S')$  if the objects are edges, and as  $f(S')$  if the objects are faces.

**Lemma 3** *Let a polygonal partition  $P$  on  $S$  be given and assume that  $P$  is edge-Laman with characteristic  $(c_1 \geq 1, c_2 \leq c_1 - 1, c_3 \geq 1)$ . Then  $P$  is face-Laman with characteristic  $(c_1 - 1, c_2, c_3 - 1)$ .*

### 3 Some relevant polygonal partitions

The edge-Laman and the face-Laman property are quite natural; they are shared by several well-known classes of polygonal partitions. In the sequel, we require  $n(S') \geq 2$  for the considered subset  $S' \subset S$ . This ensures that the formulas below yield nonnegative values for  $e(S')$  and  $f(S')$ . Let us denote with  $A(S')$  the subset of objects (under consideration) spanned by  $S'$ .

#### 3.1 Pseudo-triangulations

A *pseudo-triangulation*,  $PT$ , of  $S$  is a polygonal partition on  $S$  whose faces are pseudo-triangles, i.e., polygons with exactly three convex vertices. A vertex of  $PT$  is called *pointed* if its incident edges span a convex angle. Let  $PT$  contain exactly  $p$  pointed vertices. In [1], the (*edge*) *rank* of  $PT$  is defined as  $n(S) - p$ , the number of non-pointed vertices. The maximum rank of  $PT$  is  $n(S) - h(S)$ , in which case  $PT$  is a triangulation. The minimum rank of  $PT$  is zero, and  $PT$  is commonly called a *pointed* (or *minimum*) pseudo-triangulation in that case.

It is well known that every rank- $k$  pseudo-triangulation of  $S$  has exactly  $e(S) = 2n(S) + k - 3$  edges. Consider a subset  $S' \subseteq S$ , and assume that the set  $A(S')$  defines a pseudo-triangulation of  $S'$ . As each vertex that is non-pointed in  $A(S')$  has to be non-pointed in  $PT$  as well, the rank of  $A(S')$  is at most  $k$ . On the other hand, if  $A(S')$  is a proper subset of a pseudo-triangulation of  $S'$ , then  $A(S')$  can be completed to one with rank  $k$ . This shows  $e(S') \leq 2n(S') + k - 3$ . That is, the hereditary Laman condition is fulfilled. We conclude that  $PT$  is edge-Laman, provided that  $k \leq 4$ . In conjunction with Lemma 3 we obtain:

**Observation 1** *For  $k \leq 4$ , every rank- $k$  pseudo-triangulation of  $S$  is edge-Laman with characteristic  $(2, 0, 3 - k)$ . For  $k \leq 2$ , every rank- $k$  pseudo-triangulation of  $S$  is face-Laman with characteristic  $(1, 0, 2 - k)$ .*

It has been known [14] that pointed pseudo-triangulations enjoy the edge Laman property; in fact, they are planar Laman graphs in the classical sense [8]. A similar edge Laman condition for general pseudo-triangulations is used in [12] to define their combinatorial abstractions. In Subsection 3.2 we will observe that triangulations are both edge-Laman and face-Laman. Pseudo-triangulations of arbitrary rank share neither property, in general.

#### 3.2 $k$ -angulations

A  *$k$ -angulation* of  $S$ ,  $k \geq 3$ , is a polygonal partition on  $S$  all whose faces are  $k$ -gons, i.e., polygons with exactly  $k$  vertices. Prominent representatives are trian-

gulations ( $k = 3$ ) and quadrangulations ( $k = 4$ ). Note that we do not require convexity of the faces. It is well known that every triangulation of  $S$  contains the same number of edges and triangles. This fact generalizes to  $k$ -angulations, for  $k \geq 4$ .

The sum of angles in any  $k$ -gon is  $\pi(k - 2)$ . The sum of angles in all the faces of a  $k$ -angulation,  $Q$ , of  $S$  thus is  $\pi(h(S) - 2)$  for angles at vertices of  $\text{conv}(S)$  plus  $2\pi(n(S) - h(S))$  for angles at vertices interior to  $\text{conv}(S)$ . Dividing by  $\pi(k - 2)$  gives the number of  $Q$ 's faces,

$$f(S) = \frac{2n(S) - h(S) - 2}{k - 2}. \quad (1)$$

Respecting the exterior face, the Eulerian relation gives  $n(S) - e(S) + (f(S) + 1) = 2$ . We plug in (1) and get the number of edges of  $Q$ ,

$$e(S) = \frac{kn(S) - h(S) - k}{k - 2}. \quad (2)$$

Consider a subset  $S' \subseteq S$ . If the set  $A(S')$  is a  $k$ -angulation of  $S'$  then (2) holds with  $S$  replaced by  $S'$ . But this formula also describes the maximum number of possible edges when  $k$ -gons on top of  $S'$  are constructed. Therefore, the hereditary Laman condition is fulfilled. Together with Lemma 3 this yields:

**Observation 2** *Every  $k$ -angulation of  $S$ ,  $k \geq 3$ , is object-Laman with edge characteristic  $\frac{1}{k-2}(k, 1, k)$  and face characteristic  $\frac{1}{k-2}(2, 1, 2)$ .*

### 3.3 $k$ -regular partitions

A polygonal partition  $P$  is called  $k$ -regular if the degree of every vertex of  $P$  is exactly  $k$ . For  $k = 3$ , simple partitions (in the classical sense) are obtained. For instance, Schlegel diagrams [6] of simple three-dimensional polytopes, and thus power diagrams and Voronoi diagrams [4] in suitable domains, belong to this class. Apart from trivial cases,  $k$ -regular partitions only exist for  $3 \leq k \leq 5$ .

Let now  $P$  be a  $k$ -regular partition on  $S$ . Each vertex of  $P$  is incident to exactly  $k$  edges, and each edge of  $P$  has two vertices. Consequently,

$$e(S) = \frac{k}{2}n(S). \quad (3)$$

Applying the Eulerian formula gives

$$f(S) = \left(\frac{k}{2} - 1\right)n(S) + 1. \quad (4)$$

Observe that (3) is also the maximum number of possible edges when drawing on top of  $S$  a planar straight line graph with vertex degree at most  $k$ . But, for any  $S' \subseteq S$ , each vertex in the set  $A(S')$  is of degree

at most  $k$ , which shows that the hereditary Laman condition holds for  $P$ 's edges.

In the edge characteristic of  $P$ , the constant  $c_3$  is zero, and Lemma 3 does not apply. However, by using the arguments above on (4),  $P$  is easily seen to fulfill the hereditary Laman condition for faces, too. We summarize:

**Observation 3** *Every  $k$ -regular polygonal partition on  $S$ ,  $3 \leq k \leq 5$ , is object-Laman with edge characteristic  $(\frac{k}{2}, 0, 0)$  and face characteristic  $(\frac{k}{2} - 1, 0, -1)$ .*

For straight line graphs on  $S$  (as opposed to polygonal partitions on  $S$ ) the notion of  $k$ -regularity is meaningful for general  $k$ . For example, for  $k = 2$  we obtain vertex-disjoint covering cycles, and for  $k = 1$  we obtain perfect matchings. It follows that these structures are edge-Laman with characteristics  $(1, 0, 0)$  and  $(\frac{1}{2}, 0, 0)$ , respectively. Finally, note that any spanning tree of  $S$  is edge-Laman with characteristic  $(1, 0, 1)$ .

## 4 Incidence matching for edges and faces

Our results in Section 3 combine with Theorem 2 (the incidence matching theorem) in the following way.

**Theorem 4** *Let  $S$  be a finite set of points in the plane. Let  $P$  and  $Q$  be two structures on top of  $S$ , from one of the following classes ( $k$  fixed): Rank- $k$  pseudo-triangulations for  $k \leq 3$ ,  $k$ -angulations,  $k$ -regular partitions,  $k$ -regular straight line graphs for  $k \leq 2$ , spanning trees. Then there exists a perfect matching between the edge sets of  $P$  and  $Q$  such that matched edges share a vertex. The same is true for the face sets of  $P$  and  $Q$ , except for the last two classes and for rank-3 pseudo-triangulations.*

Let us demonstrate that an edge incidence matching need not exist for pseudo-triangulations of general (fixed) rank. See Figure 2. The two pseudo-triangulations we use are the one shown there (call it  $PT_1$ ) and the one we obtain when reflecting  $PT_1$  along the bold vertical edge (call this structure  $PT_2$ ). Note that  $PT_1$  and  $PT_2$  live on the same point set. Let  $\Delta$  denote the shaded triangle. Consider the restrictions of  $PT_1$  and  $PT_2$ , respectively, to  $\Delta$ , and let  $E_1$  and  $E_2$  be their respective edge sets. The 15 edges of  $E_1$  can only be matched to the 11 edges of  $E_2$  or to the 3 additional edges of  $PT_2$  that are incident to the vertices of  $\Delta$ . Thus no perfect matching is possible.

Note that Figure 2 serves as an example, that requiring  $c_3 \geq -1$  instead of  $c_3 \geq 0$  in Theorem 2 is not strong enough to ensure an incidence matching.

For triangulations, vertex incidence of matched triangles *plus* overlap can be satisfied simultaneously [2]. While the overlap condition has to be dropped for

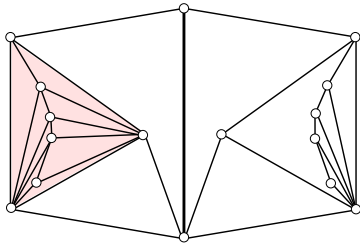


Figure 2: No edge matching exists for this rank-4 pseudo-triangulation and its reflection

general pseudo-triangulations, see Figure 1, the incidence condition for pseudo-triangles can be retained for rank  $k \leq 2$ , see Theorem 4. In particular, pointed pseudo-triangulations admit such a face matching.

## 5 Decomposition into spanning trees

Several authors considered the question of whether a given graph is decomposable into disjoint spanning trees; see e.g. [7] and references therein. Using a basic theorem by Nash-Williams [11] and Tutte [15], the following can be proved for polygonal partitions.

**Theorem 5** *Let  $P$  be a polygonal partition on  $S$  with  $k(n(S) - 1)$  edges. The edge set of  $P$  can be decomposed into  $k$  spanning trees if and only if  $P$  is edge-Laman with characteristic  $(k, 0, k)$ .*

From Observation 1 we get the following property.

**Corollary 6** *Every rank-1 pseudo-triangulation of  $S$  can be decomposed into two spanning trees.*

It is well known that, in case  $\text{conv}(S)$  is a triangle, every triangulation of  $S$  is decomposable into three trees which are edge-disjoint apart from the three edges of  $\text{conv}(S)$ ; see, e.g., [9, 13]. We obtain the following generalizations.

**Corollary 7** *Every triangulation of  $S$  can be decomposed into 3 spanning trees if the  $h(S)$  edges of  $\text{conv}(S)$  are duplicated. Moreover, every quadrangulation of  $S$  can be decomposed into 2 spanning trees if every other edge of  $\text{conv}(S)$  is duplicated.*

The existence of *some* edges in a triangulation (or quadrangulation) whose duplication leads to a decomposition into spanning trees also can be proved using a result in [7]. Duplication of *arbitrary* edges does not suffice, as can be shown by simple examples.

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**Acknowledgements** We gratefully acknowledge discussions on the presented topic with Belen Palop and Pedro Ramos.

Research was partially supported by: FWF Joint Research Project Industrial Geometry S9205-N12, Projects MCYT-FEDER BFM2003-00368, Gen. Cat 2001SGR00224, Acción Intergrada España-Austria HU2002-0010, International-Max-Planck-School Saarbrücken